

Serving with Honor and Excellence!



ROMBLON STATE UNIVERSITY
AGENCY
PERFORMANCE
REVIEW REPORT

F.Y. 2020

Academic, Research and Extension Excellence
Operational Excellence

Executive Summary

The Romblon State University (RSU) as mandated under the RSU Charter of 2009 (Republic Act 9721) an act converting the Romblon State College to Romblon State University, shall: (1) provide advanced education, higher technological, professional instruction and training in agriculture and fishery, forestry, science and technology, education, arts and sciences, and other relevant fields of study; (2) undertake research and extension services, and provide progressive leadership in its areas of specialization; (3) undertake research in various fields of expertise and specialization by conducting relevant and responsive researches, promoting research and development, and contributing to the dissemination and application of knowledge; and (4) provide various forms of community extension and public service that is need/issue-based, innovative, and research-driven that will impact the lives of the *Romblomanons* and the community.

Under the General Appropriations Act (GAA) of 2020, an allotment of P215,327,000.00 was granted to the university. It was allocated for General Administration and Support Services (GASS), Support to Operations (STO), and operations including locally-funded projects. The performance of RSU is evaluated based on the identified major final outputs and outcomes. The GASS includes general management and supervision, and the administration and personnel benefits; the STO focused on auxiliary services; operations which includes the higher and advance programs, research programs, and the technical advisory extension programs; and locally-funded projects such as the Construction of Student Dormitory for the College of Agriculture, Fishery and Forestry (CAFF) in Agpudlos, San Andres, and the Rehabilitation of the College of Arts and Sciences Building in the main campus.

In December 15, 2020, the university had conducted its F.Y. 2020 Management and Operations Review in accordance with the DBM Circular No. 2018-13. This activity was held in order to assess the university's annual performance which covers the physical, financial, income performance, and accomplishments for the F.Y. 2020. The content of this report is a collaborated efforts and contributions from the budget office, accounting office, cashiering office, physical plant and facilities office, RSU project monitoring committee, concerned offices and units under the vice president for academic affairs, vice president for research, extension, development, and innovation, vice president for administration and finance, and the office of the chief administrative officer. The preparation and development of the APRR 2020 is spearheaded by the office of the university president through the planning and development office.

Strategic Objectives

Sectoral Outcome: Ensure Lifelong Learning Opportunities for All

Organizational Outcomes and Objectives:

- a. Relevant and quality tertiary education ensured to achieve inclusive growth and access of deserving but poor students to quality tertiary education increased;
- b. Higher education research improved to promote economic productivity and innovation; and
- c. Community engagement increased.

Background

Romblon State University has one main campus and eight satellite campuses that are strategically located in the four islands of the province of Romblon. There are five satellite campuses in Tablas Island located at the municipalities of Calatrava, San Agustin, San Andres, Sta. Maria, and Sta. Fe. Likewise, there is one extension campus in Carabao Island (San Jose) under Sta. Fe campus. Furthermore, there are two satellite campuses in Sibuyan Island located at the municipalities of San Fernando and Cajidiocan; and Sawang campus in Romblon Island.

Fiscal Year (FY) 2020 was a challenging yet productive year for RSU. Despite the threats of the COVID 19, RSU still manage to sustain the provision of quality education for all, implemented relevant researches, and provided responsive and need-based extension programs and projects for the community and other stakeholders.

The latter part of the year witnessed the transition of RSU administration under a new leadership. The whole system was redirected towards a shared vision and unified actions. Guided by the societal, sectoral, and institutional vision, mission, thrust and agenda, the Five-Year Strategic Development Plan (SDP) 2021-2025 was developed to lay the strong foundation and identify concrete actions for the attainment of the medium and long-term plans of RSU in line with international, national, regional, and provincial development thrusts to contribute to the attainment of the sustainable development goals (SDGs). Setting the foundation is important to prepare the University for attaining greater goals ahead. Making the right decisions is crucial at this juncture; hence, plans, program, projects, and activities are developed based on research data and assessment on the needs of different stakeholders through sectoral consultations and situational analysis.

The challenges and radical changes made the RSU community united in its mission of serving with Honor and Excellence.

Program/Activity/Project, Annual Targets, and Financial Reports

A. Physical Report of Operations 2020

Table 1. Summary of Physical Performance

Organizational Outcome 1: Relevant and quality tertiary education ensured to achieve inclusive growth and access of deserving but poor students to quality tertiary education increased			
Higher Education Program			
Performance Indicator: Outcome/Output Indicator	Physical Target	Actual Accomplishment	Remarks
Percentage of first-time licensure exam takers that pass the licensure exams	34%	0%	All board exams were suspended due to COVID 19 threat per PRC advisories.
Percentage of graduates (2 years prior) that are employed	70%	63.96%	Actual accomplishment only covers the College of Education and Institute of Criminal Justice Education which submitted the tracer study reports
Percentage of undergraduate student population enrolled in CHED-identified and RDC-identified priority programs	92%	116.85%	A total of 9,669 enrollees for 2 nd Sem AY 2019-2020 and 12,883 for 1 st Sem AY 2020-2021 with an average enrollment of 11,276 Baseline: (9,650 is an Average enrollment; 2 nd Sem AY 2018-2019 and 1 st Sem 2019-2020)
Percentage of undergraduate programs with accreditation	61%	71.11%	Out of 45 undergraduate programs, 31 were accredited as follows: Level 1- 10; Level 2- 16; Level 3 Phase 1- 4; Level 3 Phase 2- 3
Organizational Outcome 2: Higher education research improved to promote economic productivity and innovation			
Advanced Education Program			
Percentage of graduate school faculty engaged in research applied in any of the following:			
a. Pursuing advanced research degree programs (PhD)	9%	23.83%	Baseline: 289 faculty – 54 with PhD= 235 as of

			December 31, 2020 per HRMO 56 regular faculty are pursuing doctoral studies as of December 31, 2020
b. Actively pursuing in the last three (3) years (investigative research, basic and applied scientific research, policy research, and social science research)	6%	63.64%	Out of 33 graduate school (GS) faculty, 21 are actively pursuing research initiatives per the data from the Research Office
c. Producing technologies for commercialization or livelihood improvement	4%	18.18%	Only 6 out of 33 GS faculty are producing technologies for commercialization or livelihood improvement
d. Whose research work resulted in an extension program	4%	24.24%	Also 8 out 33 GS faculty whose research work is extended to the community through extension program or project
Percentage of graduate students enrolled in research degree programs	100%	142.21%	A total of 534 enrollees for 2nd Sem AY 2019-2020 and 651 for 1st Sem AY 2020-2021 with an average enrollment of 593 Baseline: (417 is an Average enrollment; 2nd Sem AY 2018-2019 and 1st Sem 2019-2020)
Percentage of accredited graduate programs	0%	0%	The six graduate programs were assessed for Preliminary Survey Visit (PSV) and also for Regional Quality Assessment Team (RQUAT) visit by CHED
Research Program			
Number of research outputs in the last three years utilized by the industry or by other beneficiaries	3	4	2018 = 2 2019 = 2 2020 = 0 Total = 4
Number of research outputs completed within the year	17	3	Most of the researches for implementation in 2020 were suspended due to

			the threat of COVID 19; Enhanced Community Quarantine; Researchers asked for 6-month extension period
Percentage of research outputs published internationally or CHED recognized journal within the year	8%	100%	Out of 16 researches (completed in previous years), also 16 research articles were published in 2020
Organizational Outcome 3: Community engagement increased			
Technical Advisory Extension Program			
Number of active partnerships with LGUs, industries, NGOs, NGAs, SMEs, and other stakeholders as a result of extension activities	4	14	Fourteen active partnerships were established attaining a 350% accomplishment rate
Number of trainees weighted by the length of training	3,650	9,958	A 272.82% increase in performance targets was achieved as a result of the implementation of the Farm Literacy Project in partnership with Landbank Countryside Development Foundation Inc., and the LGUs
Number of extension program organized and supported consistent with SUC's mandated and priority programs	4	9	All the extension programs were organized and supported
Percentage of beneficiaries who rate the training course/s and advisory services as satisfactory or higher in terms of quality and relevance	96%	100%	

B. Financial Report on General Fund (101)

The University's total appropriations as per the 2020 General Appropriations Act (Agency Specific Budget, Automatic Appropriations) amounted to **P273,827,000** (P201,120,000.00 for Personal Services; P 23,657,000 for MOOE; and P49,050,000 for Capital Outlay) of which P 272,965,626.00 (P200,258,626.00 for Personal Services; P 23,657,000 for MOOE; and P49,050,000 for Capital Outlay) was released Likewise Automatic Appropriations amounting to P17,813,000.00 for Retirement and Life Insurance Premium (RLIP) was released. In addition, the amount of P 7,236,922.14 (PS = P 6,182,825.76 MOOE = P608,858.17; Capital Outlay = P 445,238.21) was carried over as continuing appropriations from FY 2019; and Special Purpose Funds (SPF) amounting to P549,609.00 was received from the Pension and Gratuity Fund (PGF) for the terminal leave benefits of retired employees and monetization of leave credits; and P13,362,692.00 from the Miscellaneous Personnel Benefits Fund (MPBF) for the First Tranche of the salary increase.

With the enactment of R.A. No. 11469 or the "Bayanihan to Heal as One Act", which was enacted to address the Corona Virus Disease 2019 (COVID-19) pandemic, the DBM discontinued P/A/Ps: Higher education research improved to promote economic productivity and innovation amounting to P 1,500,000.00, and Conduct of activities for sports and culture development amounting to P 500,000.00. And in compliance with the provisions of National Budget Memorandum No. 580 dated April 22, 2020, the University offered P 1,914,165.00 (MOOE) and P 4,905,000.00 (CO) of which a negative Special Allotment Release Order (SARO) was issued by the DBM, thereby reducing the MOOE to P21,242,835.00. A negative Special Allotment Release Order (SARO) for RLIP amounting to P13,327,586.00 was received pursuant to DBM Circular Letter 2020-6 date March 30, 2020 whereby the government share in the RLIP contribution was directly remitted to the GSIS by DBM.

The University realigned MOOE amounting to P 1,996,568.00 to Personal Services for the payment of Collective Negotiations Agreement (CNA) Incentive during F.Y. 2020. This brought the Personal Services to its adjusted allotment balance of P 202,255,194.00 and the MOOE to its adjusted allotment balance of P 19,746,267.00. The Total Adjusted Allotment balance is P 266,146,461.00 (2020).

B.1. Budget Utilization Rate (BUR) – Obligations

Of the **P292,370,851.85** (including continuing appropriations) adjusted allotment balance, P283,672,179.78 or **97.02%** was obligated as of December 31, 2020, as follows:

B.1.1. Personnel Services

Based on the adjusted released allotment of **P227,546,870.46 for Personal Services, 98.95 % or P225,168,682.68 was obligated** as of December 31, 2020, broken down as to fund source as follows:

- Agency Specific Budget – P 200,486,429.45 (99.13% obligated)
- Automatic Appropriations (RLIP) – P 4,485,414.00 (100.00% obligated)
- Miscellaneous Personnel Benefits Fund- P13,362,692.00 (100.00% obligated)
- Pension, and Gratuity Fund (PGF) for terminal leave benefits – P 549,609.00 (100% obligated)
- Continuing Appropriations – P 6,284,538.23 (91.16% obligated)

B.1.2. Maintenance and other operating expenses

Based on the adjusted released amount of **P20,264,267.00**, (Agency Specific Budget = P19,746,267.00 Continuing Appropriations = P 518,000.00) for Maintenance and Other Operating Expenses (MOOE), **P20,264,112.79 or 100.00%** was obligated, broken down as follows:

- Agency Specific Budget = P 19,746,112.79 or 100.00% obligated
- Continuing Appropriations = P 518,000.00 or 100% obligated

B.1.3. Capital Outlay

On the released amount of **P44,559,714.39** (Agency Specific Budget = P44,145,000.00 Continuing Appropriations = P414,714.39) for capital outlay, **P38,239,384.31 or 85.82%** was obligated, broken down as follows:

- Agency Specific Budget = P 38,041,014.31 or 86.17% obligated
- Continuing Appropriations = P 198,370.00 or 47.83% obligated

The FY 2020 appropriations for Capital Outlay are obligated during the Fourth Quarter of the year after approval by the Board of Regents of the authority given to the SUC President to award and sign the contract agreement which was delayed because of the COVID-19 pandemic.

B.2 Disbursement Rate

Of the obligated amount of **P283,672,179.78, P249,450,696.86** was disbursed as of December 31, 2020 or a disbursement rate of **87.94%**.

B.2.1. Personnel Services

Of the obligated amount of **P225,168,682.68, P225,168,682.68 or 100.00%** was disbursed during the period, broken down as to fund source as follows:

- Agency Specific Budget – P 200,486,429.45 (100% disbursed).
- Automatic Appropriations (RLIP) – P 4,485,414.00 (100% disbursed)
- Miscellaneous Personnel Benefits Fund- P13,362,692.00 (100.00% disbursed)
- Pension, and Gratuity Fund (PGF) for the monetization of leave credits – P549,609.00 (100% disbursed).
- Continuing Appropriations – P 6,284,538.23 (100% disbursed)

B.2.2. Maintenance and other operating expenses

Of the obligated amount of **P20,264,112.79** for Maintenance and Other Operating Expenses (MOOE), **P20,160,227.55 or 99.49%** was disbursed during the period, broken down as to fund source as follows:

- Agency Specific Budget = P 19,642,227.55 (99.47% disbursed)
- Continuing Appropriations = P 518,000.00 (100% disbursed)

B.2.3. Capital outlay

Of the obligated amount of **P38,239,384.31** for Capital Outlay (CO), **P4,121,768.63 or 10.78%** was disbursed during the period, broken down as to fund source as follows:

- Agency Specific Budget = P 3,923,416.63 (10.31% disbursed)
- Continuing Appropriations = P 198,370.00 (100% disbursed)

B.3. Unpaid Obligations

Obligations amounting to **P34,221,482.92** remained unpaid as of December 31, 2020 due to the following reasons:

- Items were undelivered, or if delivered were incomplete.
- Infrastructure projects were either not yet completed or billing statement for the period was yet to be received.

B.4. Unobligated Appropriations

Unobligated appropriations as of December 31, 2020 may be due to the following reasons:

- For Personnel Services: balance of appropriations for employees who retired during the year.
- For Capital Outlay: the difference between the Approved Budget for the Contract (ABC) and the Winning Bid Amount or Contract Amount.

B.5. Unreleased Appropriations

The unreleased appropriations represent funds for the Gratuity Benefits of retired employees.

C. Financial Report on Special Trust Fund for F.Y. 2020

As of December 31, 2020, a total budget of **P80,275,149.25** was approved by the Board of Regents out of the Non- Fiduciary Fees (**P48,890,132.20** distributed as follows: Personnel Services- P 7,027,203.78; MOOE- P39,789,608.84; and Capital Outlay- P10,467,816.35) and Fiduciary Fees (**P20,729,240.92** distributed as follows: Personnel Services- P486,740.43; MOOE- 14,901,391.85; and Capital Outlay- P7,602,388.00).

Table 2. Summary of financial report on special trust fund

	Total Approved Utilization	Total Obligations	Budget Utilization Rate	Total Disbursements	Disbursement Rate	Unobligated Balance	Accounts Payable
	(1)	(2)	(3 = 2/1)	(4)	(5 = 4/5)	(6 = 1 - 2)	(7 = 2 - 4)
1. NON-FIDUCIARY FUND							
PS	7,027,203.78	P 5,046,749.47	71.82%	P 4,066,132.77	80.57%	P 1,980,454.31	P 980,616.70
MOOE	39,789,608.84	29,895,787.98	75.13%	23,164,277.73	77.48%	9,893,820.86	6,731,510.25
CO	10,467,816.35	5,718,293.35	54.63%	2,091,714.86	36.58%	4,749,523.00	3,626,578.49
Total	48,890,132.20	P 40,660,830.80	83.17%	P 29,322,125.36	72.11%	8,229,301.40	P 11,338,705.44
2. FIDUCIARY FUND							
PS	486,740.43	P 310,724.93	63.84%	P 285,724.93	91.95%	P 176,015.50	P 25,000.00
MOOE	14,901,391.85	10,082,601.52	67.66%	7,698,736.09	76.36%	4,818,790.33	2,383,865.43
CO	7,602,388.00	4,272,015.00	56.19%	840,177.00	19.67%	3,330,373.00	3,431,838.00
Total	20,729,240.92	P 14,665,341.45	70.75%	P 8,824,638.02	60.17%	P 6,063,899.47	P 5,840,703.43
RECAPITULATION - FUND 164							
	Total Approved Utilization	Total Obligations	Budget Utilization Rate	Total Disbursements	Disbursement Rate	Unobligated Balance	Accounts Payable
	(5 = 1 + 2 + 3 + 4)	(6)	(7 = 6/5)	(8)	(9 = 8/6)	(10 = 5 - 6)	(11 = 6 - 8)
PS	7,513,944.21	P 5,357,474.40	71.30%	P 4,351,857.70	81.23%	P 2,156,469.81	P 1,005,616.70
MOOE	54,691,000.69	39,978,389.50	73.10%	30,863,013.82	77.20%	14,712,611.19	9,115,375.68
CO	18,070,204.35	9,990,308.35	55.29%	2,931,891.86	29.35%	8,079,896.00	7,058,416.49
Total	80,275,149.25	P 55,326,172.25	68.92%	P 38,146,763.38	68.95%	24,948,977.00	P 17,179,408.87

Source: Budget Office

A total of **P55,326,172.25 (68.92%)** of the approved budget was obligated for the year, as follows:

- Personnel Services, P 5,357,474.40 (71.30% obligated)
- MOOE, P39,978,389.50 (73.10% obligated)
- Capital Outlay, P 9,990,308.35 (55.29% obligated)

Based on the total amount obligated, 68.95% was disbursed as of December 31, 2020, as follows:

- Personnel Services, P 4,351,857.70, 81.23% disbursed
- MOOE, P 30,863,013.82, 77.20% disbursed
- Capital Outlay, P 2,931,891.86, 29.35% disbursed

Unpaid Obligations

Obligations remained unpaid as of December 31, 2020 due to the following reasons:

- Items were undelivered, or if delivered were incomplete.
- Infrastructure projects were either not yet completed or billing statement for the period was yet to be received.

Details of the financial reports on General Fund and Special Trust Fund is summarized in FAR No.1 and FAR No.2

D. Aging of Unpaid Obligations

As indicated in FAR No. 3, the total current year unpaid obligations is **P34,221,482.92** and the total unpaid obligations for the prior years is **P16,565,297.97** with a grand total of **P50,786,780.89**.

E. Report of Disbursements/ Cash Utilization

As indicated in FAR No.4, this is the monthly report of disbursement that includes the amount of cash (Notice of Cash Allocation) received for the particular month and the amount of tax remitted in order to get the total available amount of disbursement program. The total actual disbursements were deducted categorized into PS, MOOE, and Capital Outlay. Any excess amount after deduction is forwarded to the following month as part of the total available balance. At the end of every quarter, the excess amount is reverted to DBM. As shown in table 3, the cash utilization rate of fund 101 is **98.30%**.

Table 3. Summary of Cash Utilization of Fund 101

Quarter	NCA Received	Disbursements	Balance	Utilization Rate
First	53,260,100.00	53,256,437.64	3,662.36.00	99.99%
Second	80,601,000.00	80,599,377.59	1,622.41	100.00%
Third	63,065,135.00	61,396,611.16	1,668,523.84	97.35%
Fourth	82,397,413.00	79,313,037.23	3,084,375.77	96.26%
Annual	279,323,648.00	274,565,463.62	4,758,184.38	98.30%

Source: Accounting Office

F. Quarterly Report of Revenues and Other Receipts

As indicated and summarized in FAR No. 5, the annual revenue target per Budget of Expenditures and Sources of Financing (BESF) of RSU is **P81,006,000.00**. The total actual revenue and other receipts collection is **P172,295,164.02** thus, having a variance of P91,289,164.02 or 113% more than the revenue target for 2020.

Table 4. Summary of Revenues and Other Receipts.

Quarter	Revenues and Other Receipts
First	P31, 563,584.19
Second	P40,738,079.94
Third	P53,140,913.74
Fourth	P46,852,586.15
Annual	P172,295,164.02

Source: Accounting Office

G. Capital Outlay/ Infrastructure Project

Table 5. Summary of infrastructure projects

Project No.	Name of the P/A/P	Total Floor Area (Sq.m.)	Approved Budget for the Contract (ABC), (Php)	Contract Price (Php)	Accomplishment (Percent, %)
1	Rehabilitation of Multi-purpose Building, Main Campus, Odiongan	760.00	25,000,000.00	19,533,537.97	100
2	Variation Order: Contagious/Additional Works for the Rehabilitation of Multi-purpose Building, Main Campus, Odiongan			5,461,981.82	100
3	Rehabilitation of Multi-purpose Building, San Fernando, Odiongan	1,160.00	13,702,000.00	13,414,436.00	42
4	Construction of School Dormitory for the College of Agriculture, Fishery and Forestry, San Andres	1,183.80	17,582,500.00	15,557,736.10	2
5	Rehabilitation of the College of Arts and Sciences, Main Campus, Odiongan	840.00	12,812,500.00	10,598,374.71	3
TOTAL		3,183.80	69,097,000.00	64,566,066.60	

Source: Project Monitoring Committee; Physical Plant Facilities Office

The above table indicates the four major infrastructure projects, wherein projects 1, 2 and 3 have started its mobilization/construction in the third quarter of 2019; project 3 started towards the end of the last quarter of 2019; while projects 4 and 5 were bid towards the last quarter of 2020. Projects 1, 2, and 3 were included in the GAA 2019 while projects 4 and 5 were GAA 2020 funded. In particular, Project 2 is a variation order for Project 1 consisted of additional construction works, fire alarm, CCTV, lighting system, water connection, generator set, fire safety/emergency connections and installations.

The actual completion date of projects 1 and 2 were set on December 12, 2020, while project 3 was set on June 06, 2020 but it was extended until January 27, 2021 due to COVID-19 crisis which caused delays in the delivery of construction materials and mobility of workers on-site. Furthermore, for projects 3 and 4, the expected completion date was both set on July 28, 2021.

H. Summary of Findings

The table below shows some of the notable findings and observations on the performance of Romblon State University for F.Y. 2020.

Table 6. Findings/Observations on Physical Performance Report

Programs	Findings	Catch-Up Plan / Plan of Actions
Organizational Outcome 1: Relevant and quality tertiary education ensured to achieve inclusive growth and access of deserving but poor students to quality tertiary education increased		
Higher Education Program	Only one college (CED) and one institute (ICJE) were able to submit report on tracers' studies and activities in 2020	The establishment of Center for Alumni Relations and Employment (CARE) will ensure that employability of the graduates is monitored; required the colleges, institutes and the campuses to regularly monitor their graduates in coordination with the CARE
	There is a 116% increase in enrollment for AY 2020 despite the threat of COVID 19	The RSU implemented the Flexible Learning Strategies in order to sustain the provisions of quality education; continuous monitoring and evaluation of the implementation of the Comprehensive Continuity and Flexible Learning Plan (CCFLP) in order to maintain the enrollment rate and minimize the drop-out rate.
	Even though RSU surpassed the target on accreditation, only 68.88% of the total undergraduate programs had were accredited (levels 1, 2, 3)	Establishment of the Quality Assurance Office that will lead in the accreditations of all undergraduate programs in partnership with the colleges, institutes, and campuses; the Academic Affairs Sector also included it in their strategic development plan
Organizational Outcome 2: Higher education research improved to promote economic productivity and innovation		
Advance Education Program	Although the target for 2020 had exceeded, yet, only 56 of 289 regular faculty members are pursuing doctoral studies; 54 faculty members already had their doctoral degrees. Assuming that the 56 faculty will graduate plus the 54 existing, only 38.06% of the	Through the leadership of the Human Resource and Development Office (HRDO), a Comprehensive Faculty Development and Succession Plan will be developed and implemented.

	total faculty has doctoral degrees.	
	With the number of faculty with graduate degrees (MS, MA, EdD and PhD) only few are engaged in research, technology development and commercialization, and community extension as a result of research.	Development and implementation of the Research and Extension Strategic Development Plan in order to increase the research and extension productivity of the university; Strengthen the technical and financial support to researchers and extensionists; improve the rewards and incentives systems for Research and Extension
	The six graduate programs are for Preliminary Survey Visit (PSV) by the AACUP and also for RQUAT visit by CHED	Application for accreditation and RQUAT evaluation is on the pipeline as part of the Graduate Education and Professional Studies (GEPS) Strategic Development Plant and in partnership with the Quality Assurance Office
Research Program	Only few of our researches were utilized by the industry and other beneficiaries	The Research, Extension, Development and Innovation established the Knowledge Management and Technology Transfer Office that will spearhead the utilization and commercialization of research outputs and technologies in partnership with the Extension Service Office and the Training and Technical Advisory Services Office
	Only 3 researches were completed for 2020	This is due to unforeseen circumstance brought about by the COVID 19 threat. The research office allows a six-month extension period of the implementation of all research projects
	In terms of publication, the university achieved a 100% rate	With the establishment of the Publications Unit, the faculty were given all the technical and financial support in order to publish their research articles in SCOPUS-indexed and CHED recognized journals
Organizational Outcome 3: Community engagement increased		
Technical Advisory Extension Program	Increased in number of trainees served and partnership established with	Intensify the implementation of relevant, responsive, and research-based extension programs that will benefit the communities; continuous

	the community and other stakeholders	partnership with the different NGAs, NGOs, and other stakeholders
--	--------------------------------------	---

Table 7. Summary of Findings on Financial Matters

Programs/Items	Findings	Catch-Up Plan / Plan of Actions
General Fund 101	In terms of budget utilization rate, the university had 97.02% BUR as of December 31, 2020	Follow the GAA provisions on early procurement;
	An 87.94% disbursement rate as of December 31, 2020	
Special Trust Fund (164)	68.92% of the approved budget was obligated as of December 31, 2020	Ensure that the budget preparation and utilization schedule is followed
Aging Unpaid Obligations	There are still unpaid obligations in 2020 and in prior year/s	A close coordination with the BAC, PPFO, and the Supply Office for the submissions of the billing statements from the contractors and suppliers
Disbursement/Cash Utilization	The cash utilization rate in 2020 is 98.30%	Follow the GAA provisions on early procurement; Close monitoring and coordination with the concerned offices and units
Revenues and other Receipts	A 113% accomplishment rate more than the revenue target for 2020.	

Table 8. Summary of Findings on Capital Outlay/Infrastructure Projects

Programs/Items	Findings	Catch-Up Plan / Plan of Actions
Capital Outlay/ Infrastructure Projects	Delays in the preparation of bid documents (technical plans and specifications) and other necessary supporting documents for the Finance Committee and Board of Regents' approval prior to public bidding	The Bids and Awards Committees (BAC), in close coordination with the project proponent (end user) and the Physical Plant and Facilities Office (PPFO) prepares/consolidates the necessary requirements to ensure the timely preparation and submission of bid documents for PhilGEPS posting/documentation; Creation of the Special BAC that will handle all externally funded projects
	Slow/limited mobilization of the contractors due to COVID-19 pandemic, particularly in the delivery of construction materials and on-site mobility of workers/laborers.	The PPFO and the Inspectorate Team prepares the inspection/monitoring reports towards the release of payments to the contractors. In particular, the PPFO and Inspectorate Team closely monitor the progress of the projects

		and the teams ensures that the contractors fully aware of the results of the inspection prior to the release of payments per progress billing.
--	--	--

I. Overall Agency Comments/Recommendations

Based on the results of the management and operations review and the series of consultations with different concerned offices and units, the university was able to achieve most of the performance indicators as indicated in Table 1. Some of the indicators were not achieved because of the uncontrollable situations particularly the COVID 19 pandemic that limits and hinders the implementation of some programs, projects, and activities including infrastructure projects and deliveries of supplies and materials.

The RSU management implemented some interventions in order to address these issues and problems. Further, a plan of actions was identified in order to rectify the mistakes and lapses encountered so that it will not recur in 2021.

Prepared by:

MR. JUNIEL G. LUCIDOS
 Director, Planning and Development

Validated by:

ATTY. GLEN NIÑO M. SARTILLO
 Chief Administrative Officer

Approved by:

MERIAN P. CATAJAY-MANI, ED.D., CESE
 SUC President

Annexes and Other Supporting Documents

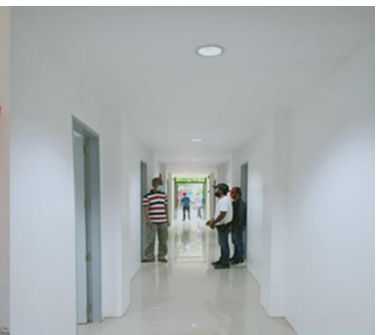
RSU Management and Operations Review / Management Meetings

The RSU Management and Operations Review was conducted last December 15, 2020 attended by all top and middle management officials. Series of management and sectoral meetings were also conducted in order to monitor and evaluate the programs, projects, and activities in line with the organizational outcomes, strategic objectives, and performance indicators of the university.



Capital Outlay/Infrastructure Projects Documentation

Project Title:	Rehabilitation of Multi-purpose Building, Main Campus, Odiongan
Project Cost:	Php19,533,537.97
Variation Order:	Php5,461,981.82
Contractor:	Orientech Construction and Development Corporation



Project Title:	Rehabilitation of Multi-purpose Building, San Fernando Campus, San Fernando
Project Cost:	Php13,414,436.00
Variation Order:	-
Contractor:	JoJean Construction and Development Corporation



Project Title:	Construction of Student Dormitory for the College of Agriculture, Fishery and Forestry, Agpuolos, San Andres
Project Cost:	Php15,557,736.10
Variation Order:	-
Contractor:	RG Florentino Construction and Trading, Inc.



Project Title:	Rehabilitation of Arts and Sciences Building, Main Campus, Odiongan
Project Cost:	Php10,598,374.71
Variation Order:	-
Contractor:	Sun Rays Builders and General Supply

